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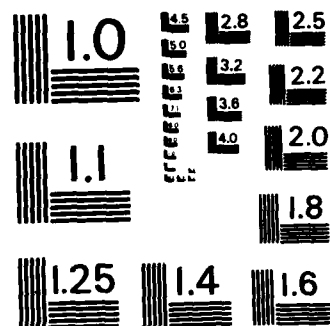
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20. ABSTRACT (Continue on reverse side if necessary and identify by block number) Research has focused on digital signal processing algorithms especially on VLSI implementation and complexity issues and on digital signal processing in an unsure noise environment. Virtually all of the important research results were reported in journal articles and conference papers. X		

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DIGITAL SIGNAL PROCESSING

FINAL REPORT

Period: 1 April 1982 - 30 June 1985

Authors: J. B. Thomas and K. Steiglitz, Professors

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1. Summary of Research Accomplishments

Virtually all of the important research results obtained during execution of this grant were reported in journal articles and conference papers, and these are listed in Section 3. We give here brief summaries of the major contributions; reference numbers are to Section 3.

A. Digital Signal Processing Algorithms: VLSI Implementation and Complexity Issues [1-20]

The development of very dense chips (Very Large Scale Integrated Circuits, or VLSI) has great potential value for digital signal processing, not just because standard signal processing elements can be made smaller and cheaper, but also because it allows computations to be spread out geometrically in such a way that many computations are done simultaneously. In a typical signal processing application, such as filtering a signal to remove noise, for example, the signal becomes available in a linear stream, and can flow through the chip continuously. We can then make maximum use of the idea of pipelining. That is, when the rear part of the chip is operating on a given part of the signal, the front part of the chip can operate on the next part of the signal. If the chip is designed specially for a given task, it is possible that every part of the chip is doing useful work all the time; the chip is "completely pipelined."

In a general purpose computer, in which an arbitrary set of instructions is executed, it is difficult to schedule the operations so that basic parts of the computer are pipelined to a great extent. And even then, there is usually only one or two basic blocks for such fundamental tasks as multiplication, addition, and so on. If we want to invest effort in designing a *single-purpose dedicated* chip, however, we can try to lay out the computational blocks in a way that allows completely pipelined operation of many computational blocks. A general review of these topics was given in [14].

In [3, 7] a definition is given for a class of completely-pipelined VLSI architectures. Two topologies are then described: leaf-connected and mesh-connected trees. Layouts are then described which use these topologies to implement multipliers and convolvers that make efficient use of time and space.

In [5, 6] we investigate the optimal tradeoff between the degree of intermediate latching and cost in special-purpose VLSI chips, using the measure Area · Period. The results show that significant reductions in AP-product (reciprocal of throughput per unit area) can be achieved by intermediate latching in many

typical signal processing applications.

In [11] completely pipelined inner-product architectures are presented for FIR filters and linear transformers. The designs use only multipliers: the summing of products requires no additional area or time.

Testing is an important issue in the implementation of high density custom chips. In [12, 13] we describe two sets of conditions that make one-dimensional bilateral arrays of combinational cells testable for single faulty cells. The test sequences are preset, and in the worst case, grow quadratically with the size of the array. A systolic implementation of an FIR filter is given as an example.

Reference [16] addresses the problem of reducing the page faulting that occurs when large VLSI layout algorithms are run in a paged memory environment. Algorithms are presented that take advantage of reference locality and have good upper bounds on the number of page faults.

In [9, 17, 18] a geometric representation of array computation is presented. Well known systolic designs are related to one another by linear transformations of a three-dimensional vector space, where one of the dimensions is time. Much previous work is unified in this way, including convolvers, linear transforms, matrix product, and matrix transposition. The approach suggests new designs for these computations, some of which are asymptotically optimal under an appropriate VLSI complexity measure.

In [8, 15] two particular layouts are described. In the first reference, a Dadda multiplier comprised of parallel counters is described. We analyze the complexity of the resulting design, and show that it is optimal with respect to both its period and latency. In [15] we describe the design, layout, and simulation of a recursively defined VLSI chip, using a constraint-based, procedural language developed at Princeton. The chip implements a regular, recursive structure for a parallel counter. Several instantiations of the design were fabricated by the MOSIS facility and successfully tested.

References [1, 10, 19] deal with more abstract complexity issues. The first paper considers the complexity of finding optimal fixed- or variable-length unambiguous address codes for the nodes of a packet radio network. The second paper shows that some practical problems in the implementation of FIR digital filters are NP-complete, and therefore likely to be intractable. These include minimizing the time, number of additions, or number of registers needed to implement a fixed FIR digital filter. In [19], the question of the complexity of analog

computation is considered. The operation of certain physical devices is reduced to a strong version of Church's Thesis, the hypothesis that $P \neq NP$, and a downhill principle. From these arguments we can draw conclusions about the efficiency of analog devices used as computers, based on complexity considerations.

Two algorithms for digital signal processing are described in [2, 4]. In the first, we present a program for computing the unwrapped phase of a signal, using an algorithm for factoring very high degree polynomials. In the second, we treat the problem of designing lowpass FIR digital filters that are very flat at zero frequency, smooth in the passband, and minimax in the stopband, using linear programming. The cases of lowpass and lowpass-differentiator are studied in detail.

Finally, in [20], we study a parallel processing architecture for the solution of partial differential equations by point iteration, using a circulating memory. If N is the number of processors, the hardware utilization efficiency remains above 90% in one-dimensional case, and above 75% in the two-dimensional case, for up to $N/2$ processors, but there are sharply diminishing returns for more than $N/2$ processors.

B. Digital Signal Processing in an Unsure Noise Environment [21-32]

Although a well-defined theory exists which specifies optimal systems for signal detection and/or estimation in noise, this theory requires an essentially complete knowledge of the statistical properties of the noise. In most practical situations, only a part of this information is available - because noise statistics change so rapidly that a complete description cannot be acquired. Three ways to attack this problem are: (1) make decisions rapidly enough so that noise statistics do not change appreciably during the decision time, (2) devise nearly optimal decision procedures which allow a region of uncertainty in the noise statistics, and (3) attempt to find noise models which are non-Gaussian but still relatively tractable.

Sequential detection schemes attempt to make a decision for "signal" or "no signal" after each observation. Fixed-sample detectors process a fixed number of observations before making a decision. The latter are simpler but the former are more efficient in that they lead to decisions in a shorter average time. We have successfully investigated sequential detectors modified so that their complexity is not much greater than that of fixed-sample detectors while their performance is nearly equal to that of sequential systems, [22,25,26].

A well-known technique to overcome insufficient knowledge of the corrupting noise in detection/estimation systems is to define a class of noises which is certain to contain the noise in question. Then a detector/estimator is designed which is optimal for the worst noise in the class. Such *min-max* systems tend to be suboptimal in performance, of course, but tend to be *robust* in the sense that reasonable deviations in the noise statistics produce small degradations in performance. We have designed and evaluated such systems with particular attention to requiring system simplicity and to taking account of the non-independence of noise sequences [24,29].

Although classical detection and estimation theory does not require the Gaussian assumption for noise models, the implementation of these schemes does rely heavily on the tractability of multivariate Gaussian statistics. We have devoted a major effort to dispensing with the Gaussian assumption since many of the important current signal extraction and detection problems occur in a non-Gaussian noise environment. We have devised models [21,23,27,28,31,32] for classes of such noises with the aim of retaining some of the tractability of the Gaussian case while modelling reasonable non-Gaussian noises. We have obtained locally optimal detectors for a wide class of non-Gaussian but dependent noise structures [30].

2. Participating Personnel

A. Faculty Supported in Part by this Grant:

J. B. Thomas (co-principal investigator)
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B. Graduate Students Supported or Partially Supported by this Grant:

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C. Ph. D. Dissertations Completed:

P. R. Cappello, "VLSI Architecture for Digital Signal Processing," August 1982.
A. B. Martinez, "Non-Gaussian and Multivariate Noise Models for Signal Detection," August 1982.
P. F. Swaszek, "Robust Quantization, Vector Quantization, and Detection," August 1982.
G.V. Moustakides, "The Representation of Bivariate Densities with Applications in Detection and Estimation," October 1983.
H. S. Baird, "Model-Based Image Matching Using Location," June 1984.
M. - D. A. Huang, "Localized Graph Algorithms with Low Page-Fault Complexity," October 1984.
A. Vergis, "Multiple Fault Detection in Digital Circuits," October 1984.

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